#### Enduring Lessons of Principles of Treatment of Disabled Infants (1985)

(copies of written essay available to audience)

George Mason University Helen A. Kellar Institute for Human disAbility College of Education and Human Development Linda H. Mason, Ph.D., Endowed Director

Monday, April 29, 2019

Rud Turnbull, L.L.M. Distinguished Professor Emeritus University of Kansas, Lawrence

#### **Key Topics**

Quality of Life – of person and family (3 & 4)

**Presumptions and Assumptions (5-8)** 

Support (9 and 10)

Principle of Deference to Professional Decision-Making (11-13)

Supreme Court (14-16)

**Outcomes and Education (17-24)** 

## Background – Withholding and Withdrawing

- Principles of Treatment
  - Coalition of medical and disability advocacy associations
  - Response to movie at Johns Hopkins Hospital
  - Response to articles in medical journals

## Presumptions and Assumptions

- Formula Presumptions
  - $\circ$  QOL = NE + (H+S)
  - Quality of life
  - Natural endowment
  - $_{\odot}\,$  Sum of home and societal contributions
- Caution mathematicalization
  - $\circ\,$  Nature of decision
  - o Purely medical (scientific)?
  - Purely ethical?
  - o Quasi-medical/ethical?

## Policy-Making Body: Who "Did" the Principles?

- Passionate insider Asst. Sec. Ed. Madeleine Will, mother of Jonathan
- Medical associations
- Disability advocacy associations
- Totally ad hoc
- Effect: Sec. 504 and Child Abuse Prevention and Treatment Act – mandatory notices and state child protection laws apply

## Principles: Nature of Discrimination

• Intolerable at birth

If tolerable then, tolerable thereafter
Lifetime claim to negative right

- Irrelevant factors
  - Nature of disability
  - Severity of disability
  - Discriminatory "home" factors
  - Discriminatory "community" factors

## General Legal Claim: Presumptions

- Principles Presume in Favor of Treatment
  - Rebuttable medical reasons only
  - Standard of proof not stated but commensurate with gravity of interest (life)
  - Burden of proof not stated but default rule is burden is on those seeking to rebut
  - Nature of facts scientifically sound body of knowledge – presumed about QOL
  - Body of knowledge belongs to particular profession – presumed primacy

Impermissible Presumptions

- Anticipated or actual limited potential of child
  - Present or future lack of community resources
- Sole factor child's medical condition

## General Ethical Claim: Inherent Worth and Support

- Worth and dignity at birth

   Inherent worth
   (Duck issue of abortion)
- Profession as steward of national culture

   Recognize inherent worth, dignity
   Create a norm, shape a culture

## **Claim to Support**

- Compel a life, support the life
- Nature of support
  - $\circ$  Medical
  - Home/family support
  - Community/public support
- Positive right complements negative right

   Negative right against discrimination
   Positive right to support

#### Challenged Assumptions: Issues in Deference Doctrine

- Decision-making process
  - ${\rm \circ}$  Whose decision
  - o Professional
  - $\circ$  Family
  - $\circ \text{ Joint }$

o What influence of physician

#### More Issues in Deference

- Time and circumstance of decision
  - o Recently born
  - Immediacy to decide
  - o Usually unexpected
  - Edges of life
  - Deference gathers power in these circumstances
  - Consider parents' situation

#### **Defensible Deference ?**

- Predictability of QOL doubtful

   Home contributions
   Societal contributions
   Changing conditions H and S
   New laws
  - New supports
  - Power of technology
  - New cultural norms

## Bowen, Supreme Court and a False Predicate

- Issue: Is there a factual basis for Sec. 504 regs that make withholding/withdrawal discrimination?
- Held: No "family decides" = false factual predicate
- Justification: No proof otherwise, customary rule of parental consent
- Response: Codify Principles

## Bowen (cont'd)

- Bowen as anti-statist stance
  - Federal intrusion into profession
  - Medical profession off hook
- Bowen and separation of powers
  - Executive agency lacks factual basis for regs
  - o U.S. Solicitor General "throws" case
  - Court refuses to grapple with issue

## Bowen (cont'd.)

- Bowen and Federalism
  - State laws against abuse, neglect
  - Sufficiency of state protection not addressed
- The "thicket" the Court learned to avoid

   *Brown* and school desegregation
   *Roe* and women's rights

#### Special Education and Lessons from Baby Doe: Non-rebuttable Presumptions

- Survivability, educability, zero reject
- Access ZR discipline, contagious disease
- Nondiscriminatory evaluation
- Use of research-based interventions in IEP
- Endrew doctrine of progress, appropriately ambitious, challenging objectives

# Lessons (cont'd.) – Not rebuttable

- Accountability dispute resolution
- Parent participation
- Four policy goals equal opportunity, full participation, independent living, economic self-sufficiency
- Statement of dignity and worth the ethical claim – natural part of human experience ... not justify discrimination

#### Lessons – Rebuttable

- Quality of outcome projections : QE = ? + ? (meaning of ?)
- Who, what, when, how determine QE?
- Program elements of IEP
- Placement least restrictive environment
- Burden of proof (*Shaffer v. Weast*) state may change "default" rule
- Standard of proof state may change amount of proof (arguable)
- Qualifications of personnel state licensure, "emergency" hires

#### **SCOTUS and Deference**

- *Rowley* (1985) benefit unanimous
- Endrew F. (2017) progress unanimous
- Mere "preference" for LRE
- Tokenism for parent participation expertise vs. input, officials' expertise and experience as critically important (but not parents' also?)
- Continued deference to professionals
- Federal, state district and appellate courts: same as before, or "heftier"?
- SEA, LEA defenses: doing *Endrew* already, always did

## SCOTUS (cont'd.)

- Remission doctrine (see Mueller report)
  - Remit issue to Congress, state
     legislatures (burden of proof, e.g.)

Majoritarian decision-making

- Contemporary thicket cases
   O Women's rights
  - Second amendment and gun control
  - Federal and state gerrymandering

## **Enduring Lessons: Goals**

- Purpose of support-ADA, IDEA
  - Equal opportunity
  - Full participation
  - Independent living
  - $\circ$  Economic self-sufficiency
- Nature of support
  - Individualized
  - Based on bias-free evaluation of need
  - o Support of person: What about family?

#### **Issues About Support**

- Where supported general ed three dimensions – academic, extracurricular, other school activities
- Where supported outside school
- When supported transitions
- Who supports whom role of educators, families, other public agencies and private entities
- The greatest social security is the "informal support" network

#### Candid Fears – Support, Inclusion, Education – Effect on QOL?

- Tightening of eligibility benefits of Social Security programs
- Failure to support families
- Families as providers of last resort
- Department of Education proposal to reauthorize IDEA
- Advocacy—"If not you, who; if not now, when?"